



NATIONAL SCIENCE FOUNDATION (NSF)

Revision of the Small Business Innovation Research (SBIR)/Small Business Technology Transfer (STTR) Phase II Grant General Conditions (SBIR/STTR-II)

Effective May 20, 2024

Effective May 20, 2024, new NSF Phase II SBIR/STTR grant and funding amendments to existing SBIR/STTR Phase II grants will begin referencing, and are subject to, the Small Business Innovation Research/Small Business Technology Transfer Phase II Grant General Conditions (SBIR/STTR-II) dated 05/20/2024.

A summary of the changes is included below. Any questions regarding these changes may be directed to the cognizant SBIR/STTR Program Director.

Summary of Changes

Overall Document

- Editorial changes have been made throughout to either clarify or enhance the intended meaning of a sentence or section.
- Websites and document references have been updated to reflect current information.
- Updates have been made to ensure consistency with guidance and terminology contained in other NSF or Federal policy documents.
- Article numbering has been updated to reflect the addition of several new articles.

By-Article Changes

- **Project Reporting Requirements, Article 15.** This article has been supplemented with certification requirements provided by the PI or co-PI in annual and final annual project reports, including an annual certification that each postdoctoral scholar and graduate student who receives substantial NSF support must have an individual development plan.
- **Safe and Inclusive Working Environments for Off-Campus or Off-Site Research, Article 19.** This article has been supplemented with language to address post-award requirements associated with the plan for safe and inclusive working environments.
- **Publications, Article 26.** The guidance in this article has been updated to align with the NSF Policy on Brand Standards and the NSF Brand Standards Manual.

- **Malign Foreign Talent Recruitment Program Certification, Article 46.** This new article addresses Section 10632 of the CHIPS and Science Act of 2022 (42 U.S.C. § 19232). Each PI and co-PI identified on a proposal submitted or due on or after May 20, 2024, that results in an award, must certify annually in Research.gov, for the duration of the award that such individual is not a party to a malign foreign talent recruitment program. These certifications do not apply retroactively to proposals submitted prior to May 20, 2024.
- **Post-award Additions of Postdoctoral Scholars or Graduate Students, Article 48.** Requirements associated with post-award additions of postdoctoral scholars or graduate students are addressed in this new article.
- **Individual Development Plans for Postdoctoral Scholars and Graduate Students, Article 49.** This new article implements the Section 10313 (42 U.S.C. § 18993) of the CHIPS and Science Act of 2022 requirement that each postdoctoral scholar and graduate student who receives substantial NSF support must have an individual development plan.